

Continuing Education Topics

CIMA®, CIMC®, and CPWA® Certificants

Continuing education is a form of recertification and should be related directly to the knowledge, skills and abilities that are covered in the education and examination process. A summary of accepted CE topics for CIMA and CPWA certifications are listed below. Note this is not an exhaustive list. For more details, see the certification topics in the Candidate Handbooks for CIMA Certification and CPWA Certification at www.IMCA.org.

Accepted Education Topics

(please note this is not an exhaustive list)

TECHNICAL INVESTMENT MANAGEMENT

- Global Capital Market History and Valuation
- Applied Finance and Economics
- Applied Statistics and Data Interpretation
- Traditional and Alternative Investments
- Portfolio Performance and Risk Measurements
- Portfolio Theory
- Individual and Institutional Investment Policies
- Manager Selection
- Investment Analysis and Recommendations
- Investment Consulting Process (including client discovery, monitoring and portfolio review)

WEALTH MANAGEMENT

- Applied Behavioral Finance
- Family Dynamics
- Tax Strategies and Planning
- Portfolio Management
- Risk Management and Asset Protection
- Business Entities and Closely Held Business
- Executive Compensation Plans
- Retirement Planning
- Charitable Giving
- Estate Planning and Wealth Transfer

GOVERNANCE AND REGULATIONS

- IMCA *Code of Professional Responsibility*
- Ethics
- Applicable Regulations; Compliance and Legal Implications

SUPPLEMENTAL

- Building Your Business (if related to investment or wealth management)
- Leadership Programs (if related to investment or wealth management)

Topics and Submissions **Not** Accepted

(please note this is not an exhaustive list)

- Marketing skills and techniques
- Motivation programs
- Team building
- All FINRA, NASAA, insurance or other regulatory and licensing exams
- Proprietary information, products or services
- Normal business procedures
- Due diligence presentations/sessions
- Online courses without exams and passing grade
- Webcasts without proof of attendance/exams
- Client/public presentations or seminars
- Programs/sessions less than 50 minutes
- Tapes/CDs/DVDs
- Prep classes for licenses/certifications
- Self-published books
- Authorship of newspaper articles
- Non-peer reviewed journals
- Grading exams for other designations/certifications