

Series 65 or Series 7/Series 66 Examination Waivers for CIMA Designees

(Date of information is August 2009; IMCA cannot guarantee its accuracy and suggests contacting your state securities regulator to confirm.)

States that waive Series 65 and Series 7/Series 66 combination examination testing for Certified Investment Management Analyst (CIMA) license holders are indicated below.

State	Waivers Granted for CIMA Designees in Good Standing	Waivers Granted for CIMA Designees on a Case-by-Case Basis	Phone Number	State Securities Regulators Web site
Alaska	Yes		907.465.2521	www.dced.state.ak.us/bsc/secur.htm
Alabama	No	Yes	334.242.2984	www.asc.state.al.us/
Arizona	No	No	602.542.4242	www.azcc.gov/divisions/securities
Arkansas	No	No	501.324.9260	www.securities.arkansas.gov/
California	No	No	916.445.7205	www.corp.ca.gov
Colorado	Yes		303.894.2320	www.dora.state.co.us/securities
Connecticut	No	No	860.240.8230	www.ct.gov/dob
Delaware	No	Yes	302.577.8424	www.state.de.us/securities/index.htm
District of Columbia	No	Yes	202.727.8000	www.disr.washingtondc.gov
Florida	No	No	850.410.9500	www.flofr.com
Georgia	No	No	404.656.3920	www.sos.state.ga.us/securities/
Hawaii	No	No	808.586.2744	www.hawaii.gov/dcca/areas/sec
Idaho	No	Yes	208.332.8004	http://finance.idaho.gov/
Illinois	No	No	217.782.2256	www.cyberdriveillinois.com/departments/securities/
Indiana	No	Yes	317.232.6681	www.in.gov/sos/securities/index.htm
Iowa	No	No	515.281.4441	www.iid.state.ia.us/ia_securities_bureau/index.asp
Kansas	No	Yes	785.296.3307	www.securities.state.ks.us
Kentucky	No	No	502.573.3390	www.kfi.ky.gov
Louisiana	No	No	225.925.4512	www.ofi.state.la.us/index.htm
Maine	No	No	207.624.8551	www.state.me.us/pfr/sec/sec_index.htm
Maryland	No	Yes	410.576.6360	www.oag.state.md.us/securities/index.htm
Massachusetts	No	Yes	617.727.3548	www.state.ma.us/sec/sct/sctidx.htm
Michigan	Does not register IAR		517.241.6356	www.michigan.gov/ofis
Minnesota	No (IA only; does not register IAR)		651.296.4973	www.commerce.state.mn.us
Mississippi	No	No	601.359.6367	www.sos.state.ms.us

State	Waivers Granted for CIMA Designees in Good Standing	Waivers Granted for CIMA Designees on a Case-by-Case Basis	Phone Number	State Securities Regulators Web site
Missouri	Yes		573.751.4136	www.sos.mo.gov/securities/
Montana	No	No	406.444.2040	http://sao.mt.gov/
Nebraska	No	No	402.471.3445	www.ndbf.ne.gov/
Nevada	No	No	702.486.2440	www.sos.state.nv.us/securities/
New Hampshire	No	No	603.271.1463	www.sos.nh.gov/securities/
New Jersey	No	Yes	973.504.3600	www.njsecurities.gov/
New Mexico	No	No	505.476.4580	www.rld.state.nm.us/securities/index.html
New York	No	No	212.416.8222	www.oag.state.ny.us/bureaus/investor_protection/investment_advisers.html
North Carolina	No	No	919.733.3924	www.secretary.state.nc.us/sec/
North Dakota	No	No	701.328.2910	www.ndsecurities.com/department-info/default.asp
Ohio	No	No	614.644.7381	www.com.ohio.gov/secu/
Oklahoma	No	No	405.280.7700	www.securities.ok.gov/
Oregon	No	No	503.378.4387	www.cbs.state.or.us/external/dfcs
Pennsylvania	No	No	717.787.8061	www.psc.state.pa.us
Rhode Island	No	Yes	401.462.9527	www.dbr.state.ri.us
South Carolina	No	Yes	803.734.9916	www.scag.gov/securities/index.html
South Dakota	No	Yes	605.773.4823	www.state.sd.us/drr2/reg/securities/index.htm
Tennessee	No	No	615.741.2947	http://tn.gov/commerce/securities/index.shtml
Texas	No	No	512.305.8300	www.ssb.state.tx.us/
Utah	No	Yes	801.530.6600	www.securities.utah.gov/
Vermont	No	Yes	802.828.3420	www.bishca.state.vt.us/SecuritiesDiv/consumer_assistance.htm
Virginia	No	No	804.371.9187	www.scc.virginia.gov/srf/
Washington	Yes		360.902.8760	www.dfi.wa.gov/sd/
West Virginia	No	Yes	304.558.2261	www.wvsao.gov/securitiescommission/
Wisconsin	No	No	608.266.1064	www.wdfi.org/fi/securities/
Wyoming	Does not register IA or IAR		307.777.7378	http://soswy.state.wy.us/default.aspx
Puerto Rico	No	No	787.723.3131	www.cif.gov.pr/valores_eng.html
U.S. Virgin Islands	No	No	340.774.7166	http://ltg.gov.vi/division-of-banking-and-insurance.html